



PATENT  
Customer No. 22,852  
Attorney Docket No. 06502.0267

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Adrian E. COLLEY et al. ) Group Art Unit: 2126  
)  
Application No.: 09/753,686 ) Examiner: Van H. Nguyen  
)  
Filed: January 4, 2001 )  
)  
For: METHOD AND SYSTEM FOR )  
PASSING OBJECTS IN A )  
DISTRIBUTED SYSTEM USING )  
SERIALIZATION CONTEXTS )

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MAY 27 2004

Technology Center 2100

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

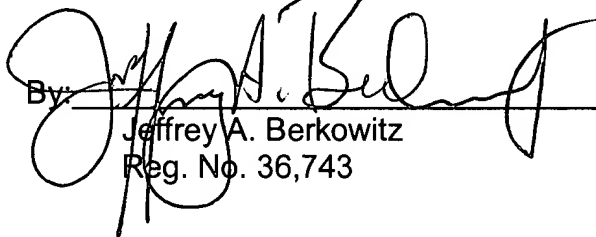
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: May 26, 2004

By:   
Jeffrey A. Berkowitz  
Reg. No. 36,743



OMB No. 0651-0011

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

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Application No.: 09/753,686

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Applicant: Adrian E. COLLEY et al.

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Group: 2126

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**U.S. PATENT DOCUMENTS**

| Examiner Initial* | Document Number | Date       | Name            | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|------------|-----------------|-------|-----------|----------------------------|
|                   | 5,446,901       | 08/29/1995 | Owicki et al.   |       |           |                            |
|                   | 5,459,837       | 10/17/1995 | Caccavale       |       |           |                            |
|                   | 5,548,724       | 08/20/1996 | Akizawa et al.  |       |           |                            |
|                   | 5,644,720       | 07/1/1997  | Boll et al.     |       |           |                            |
|                   | 5,664,191       | 09/02/1997 | Davidson et al. |       |           |                            |
|                   | 5,758,077       | 05/26/1998 | Danahy et al.   |       |           |                            |
|                   | 5,799,173       | 08/25/1998 | Gossler et al.  |       |           |                            |
|                   | 5,991,808       | 11/23/1999 | Broder et al.   |       |           |                            |
|                   | 5,996,075       | 11/30/1999 | Matena          |       |           |                            |
|                   | 6,092,194       | 07/18/2000 | Touboul         |       |           |                            |
|                   | 6,104,716       | 08/15/2000 | Crichton et al. |       |           |                            |
|                   | 6,154,844       | 11/28/2000 | Touboul et al.  |       |           |                            |
|                   | 6,157,960       | 12/05/2000 | Kaminsky et al. |       |           |                            |
|                   | 6,189,046 B1    | 02/13/2001 | Moore et al.    |       |           |                            |
|                   | 6,212,578 B1    | 04/03/2001 | Racicot et al.  |       |           |                            |
|                   | 6,243,814 B1    | 06/05/2001 | Matena          |       |           |                            |
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|                   | 6,408,342 B1    | 06/18/2002 | Moore et al.    |       |           |                            |

Examiner

Date Considered:

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449

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| Examiner Initial* | Document Number | Date       | Name            | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|------------|-----------------|-------|-----------|----------------------------|
|                   | 6,578,074 B1    | 06/10/2003 | Bahlmann        |       |           |                            |
|                   | 6,654,793       | 11/25/2003 | Wollrath et al. |       |           |                            |
|                   |                 |            |                 |       |           |                            |
|                   |                 |            |                 |       |           |                            |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initial* | Document Number | Date       | Country | Class | Sub Class | Translation Yes or No |
|-------------------|-----------------|------------|---------|-------|-----------|-----------------------|
|                   | WO01/13228 A2   | 02/22/2001 | PCT     |       |           |                       |
|                   | WO01/86394 A2   | 11/15/2001 | PCT     |       |           |                       |
|                   | WO01/90903 A1   | 11/29/2001 | PCT     |       |           |                       |
|                   | WO99/17194      | 04/08/1999 | PCT     |       |           |                       |
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|                   |                 |            |         |       |           |                       |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

|  |  |
|--|--|
|  | AUTO-ID CENTER, "Auto-ID Savant Specification 1.0," Version of 13 October 2003 (58 pages).   |
|  | H.A. Smith and J.D. McKeen, "Object-Oriented Technology: Getting Beyond the Hype," ACM, Spring 1996, Vol. 27, pages 20-29.   |
|  | Java Remote Method Invocation Specification, JDK 1.1 FCS, Sun Microsystems, Inc. Feb. 1997, chapters 5 and 7.  |
|  | Jennings, N.R. et al., "Using Intelligent Agents to Manage Business Processes," Dept. Electronic Engineering, Queen Mary & Westfield College, Mile End Road, London, E1 4NS, U.K., XP-002254546, 1996, 16 pages. |

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